

# A Brief Study of Facial Expression Recognition System

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**Abstract**— Facial expression plays an important role in human face to face communication. it may also be used in behavioral science and in clinical practice. There are many approach have been proposed for automatic facial expression recognition. Although humans can recognize the expression very easily but can a machine recognize the expression is a challenge. This paper presents an overview of automatically expression recognition system.

## I. INTRODUCTION

Human facial expression can be recognizing by machine via mathematical algorithm. Facial expression recognize by a machine is important in many fields like medical science a doctor can be alerted when a patient in severe pain an crucial action can be taken immediately.

Gestures and expressions of human body can be read by any sensing input device like web cam, which connect to the computer for further processing on image by algorithm of statistical analysis or based on artificially intelligence.

## II. PROPOSED METHODOLOGY

The methodology is that we will select that part of face which show or drive the expression, unnecessary part can be crop like the area of hair and the background side decreases the accuracy rate and not having contributed to recognize expressions. Ekman defined six basic emotions which are claimed to be universally associated with distinct facial expressions. These six basic emotions are: happiness, sadness, surprise, fear, anger, and disgust. The Facial Action Coding System (FACS) is a human-observer-based system that has been developed to facilitate objective measurement of subtle changes in facial appearance caused by contractions of the facial muscles [1]. Automatic facial expression systems can be applied to human-computer interaction, stress-monitoring systems, low-bandwidth videoconferencing, human behavior analysis, etc. [2-5].

Facial action coding is a muscle based approach. The action of face can be defined by action unit(AU). The expression of human changes many times it can be named by AUs. for ex-

AU1 for rising the outer eyebrow. AU2 for upper lips rising, AU3 for cross eye.

Input image	Required image after crop	Expression
		Happy face
		Sad face
		Surprise face

## III. ALGORITHM FOR AUTOMATIC FACIAL EXPRESSION RECOGNITION

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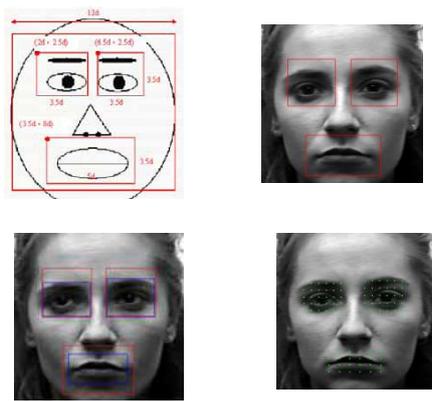
### A. To detect the face

face detection is a first step of the algorithm, for the machine and any system it's not an easy task to detect the face. Automatic human face detection by computers is a very challenging task because face patterns can have significantly variable image appearances. For example, human faces vary from genders, ages, hairstyles and races etc. Several different approaches have been proposed to solve the problem of face detection [6-8]. Each approach has its own advantages and disadvantages.

**B. Tracking feature points**

After the detection of face next step is to extract the essential features from the faces. In human faces there are some points that determine the emotions or expressions of face.

When facial muscles contract, the transformation of the corresponding skin areas attached to the muscles produces changes in the appearance of facial features and results in a certain type of visual effect. The movements of facial points (eyebrows, eyes, and mouth) have a strong relation to the information about the shown facial expression. We use these areas for recognizing facial expression. Use three rectangles for point these areas in face. To alleviate unnecessary computational load we decided to compute optical flow within three rectangles which include the action units having high correlations with facial expressions.

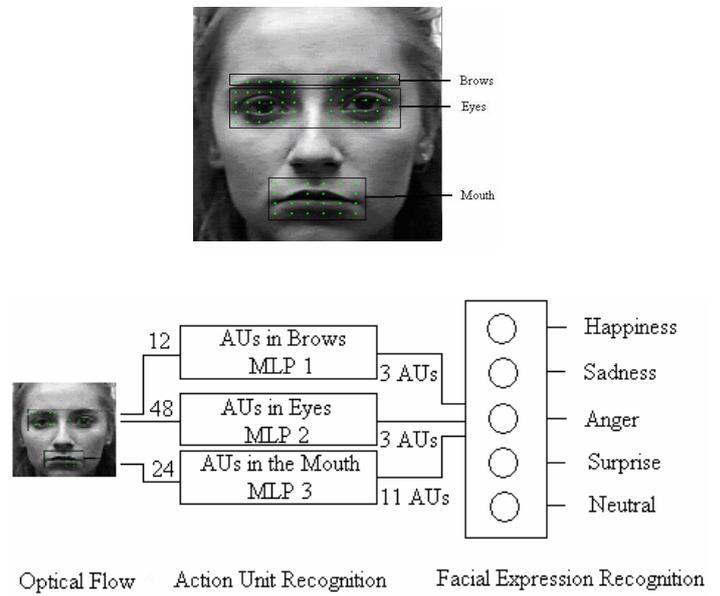


The geometrical face represented by 1st image, 2nd image represents three rectangles on the face, 3rd image represents the refined rectangles on the face, uniformly distributed points represented on the images. These three rectangles consist of the upper left rectangle enclosing the left eye and the brow, the upper right rectangle enclosing the right eye and the brow, and the lower middle rectangle enclosing the mouth since these three regions have high correlations with facial expressions. We built a geometric face model as shown to represent the geometrical relations of those three regions. Based on the face model, three initial rectangles as shown in Fig. can be quickly located from the face detected by the previous step.

Some tracking results of distributing points on the face. A pyramidal implementation of a hierarchical optical flow method is used to automatically track the feature points in the image sequence. The displacement of each feature point is calculated by subtracting its original position in the first frame from the final position in the last frame of the image sequence. Since the size of the face varies from person to person, the computed displacements are normalized by dividing the displacements by the face width. The flow vectors are used as an input pattern to neural networks for the recognition of action units. Figure illustrates some examples with optical flow vectors superimposed on the faces.

**C. Facial expression recognition**

To recognize facial expression directly on the full face should not be used. There should be partitioning according to the action unit of the face, following this multilayer perceptron used for recognizing the action unit in the eye, brows, eyes, and mouth region by the neural network perceptron of the first layer passed to the second layer, each AU represents the action of the face, by the trained MLP the system can recognize many expressions of the face.



The 6 prototypic expressions relate to the emotional states of happiness, sadness, surprise, anger, fear, and disgust [9]. However, it has been noted that the variation in complexity and meaning of expressions covers far more than these six expression categories [10]. Moreover, although many experimental expression recognition systems use prototypic expressions as output categories, such expressions occur infrequently, and fine changes in one or a few discrete face parts communicate emotions and intentions. An AU is one of 46 atomic elements of visible facial movement or its associated deformation; an expression typically results from the agglomeration of several AUs. AUs are described in the Facial Action Coding System (FACS).

**Challenges:** A key challenge is achieving optimal preprocessing, feature extraction or selection, and classification, particularly under conditions of input data variability. To attain successful recognition performance, most current expression recognition approaches require some control over the imaging conditions. The controlled imaging conditions typically cover the following aspects.

- View or pose of the head.*
- Environment clutter and illumination.*

*Miscellaneous sources of facial variability:* Facial characteristics display a high degree of variability due to a number of factors, such as: differences across people (arising from age, illness, gender, or race, for example), growth or shaving of beards or facial hair, make-up, blending of several expressions, and superposition of speech-related (articulatory) facial deformation onto affective deformation.

#### IV. CONCLUSIONS

In this paper, a simple approach to automatic facial expression recognition is presented. The proposed system is able to automatically perform human face detection, feature point extraction and facial expression recognition from image sequences. The extraction of facial features sometimes is a very challenging task. To alleviate the computational load, we propose to uniformly distribute feature points over the three automatically located rectangles instead of extracting precise facial features. The average recognition performance for facial expressions could be achieved to 93% correct. This result was very encouraging compared to some existing approaches.

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